

Momentum-carrying waves on D1-D5 microstate geometries

David Turton

Ohio State

Great Lakes Strings Conference, Purdue, Mar 4 2012

Based on 1112.6413 and 1202.6421

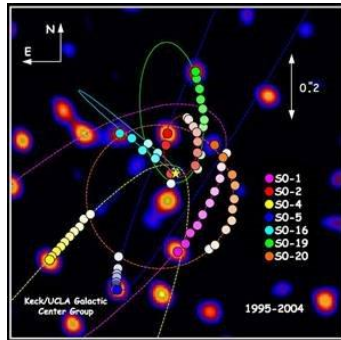
with Samir Mathur

Outline

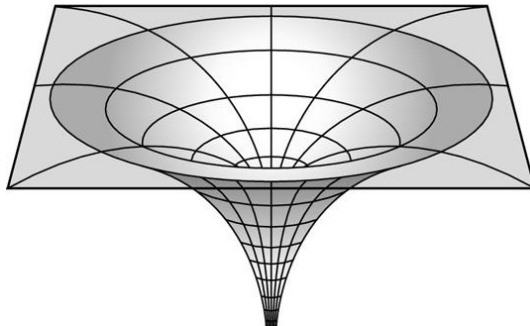
1. Black holes in string theory
2. D1-D5 system
3. Momentum-carrying perturbations
on D1-D5 geometries

What is a Black Hole?

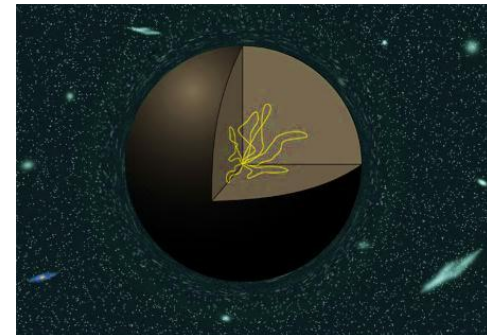
Physical: dark, heavy, compact bound state of matter



Classical: geometry with horizon

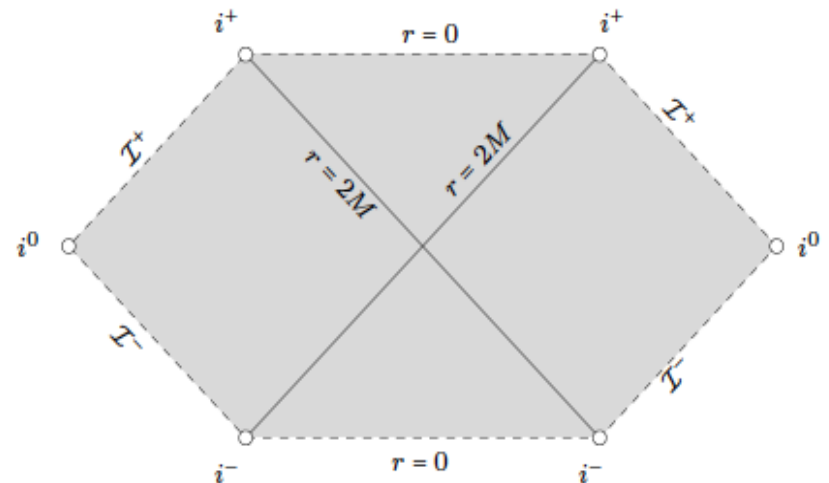


Quantum: bound state in quantum gravity theory



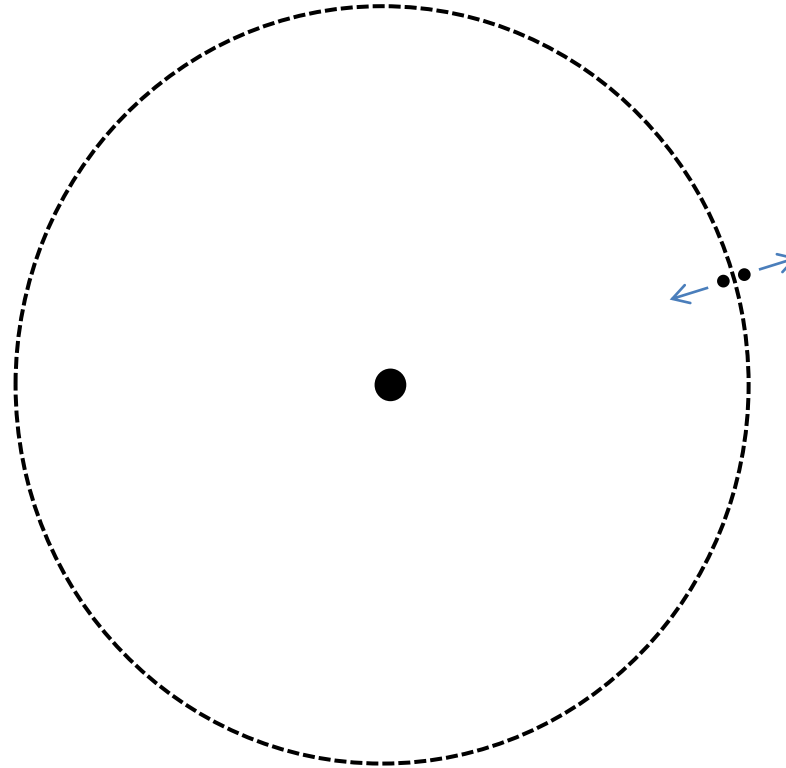
Why Black Holes?

- Strong evidence for astrophysical Black holes
- Seek unified theory of Nature → quantum gravity (QG)
- String theory: leading candidate
- Major tests of any QG theory:
 - i) UV finiteness
 - ii) Information paradox



The Information Paradox

BH Horizon:
normal lab physics
(small curvature)



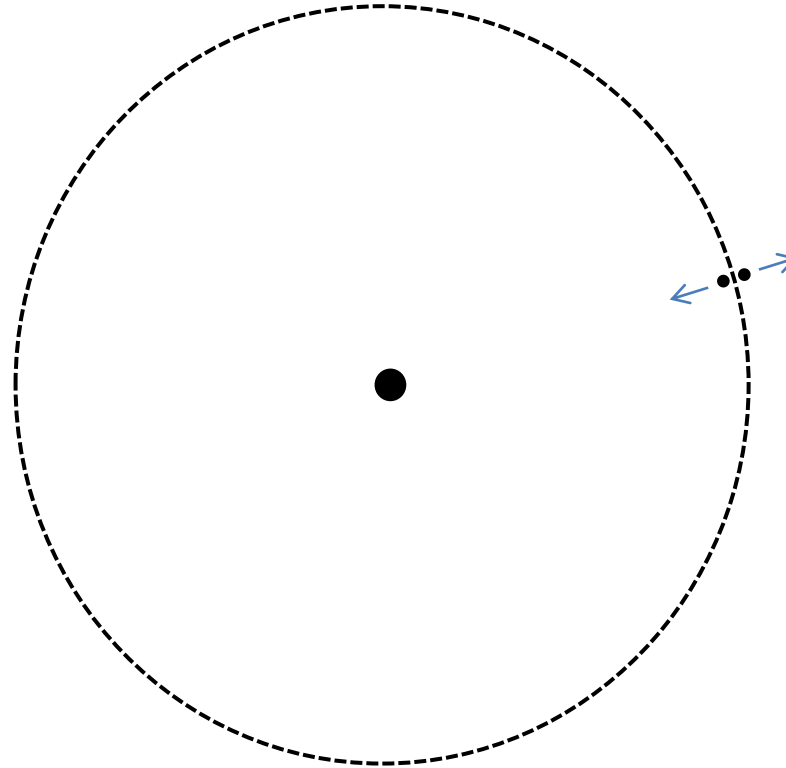
Hawking radiation:
pair creation

→ entangled pair

- Endpoint of process: violation of unitarity or exotic remnants. [Hawking '75](#)

The Information Paradox

BH Horizon:
normal lab physics
(small curvature)



Hawking radiation:
pair creation

→ entangled pair

- Endpoint of process: violation of unitarity or exotic remnants. [Hawking '75](#)
- Conclusions robust against arbitrary small corrections [Mathur '09](#)

Black hole hair

- Bekenstein-Hawking entropy $S \rightarrow e^S$ microstates
- Can physics of individual microstates modify Hawking calculation?
- Many searches for Black hole 'hair': deformations at the horizon.
- In classical gravity, many 'no-hair' theorems resulted

Israel '67, Carter '71, Price '72, Robinson '75,...

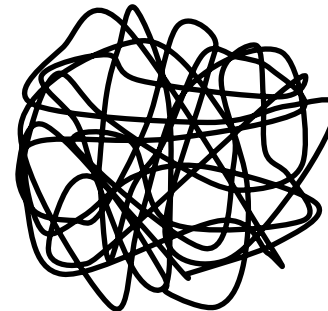
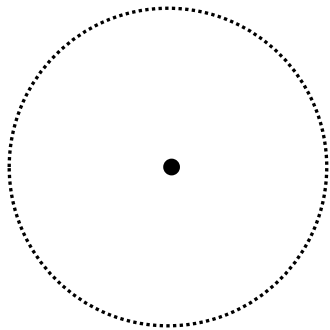
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In String theory, we do find hair. Suggests that

- Quantum effects important at would-be-horizon (fuzz)
- Bound states have non-trivial size (ball)

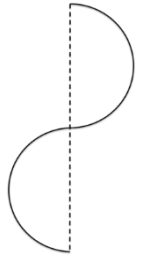


“Fuzzball”

Two-charge Black hole

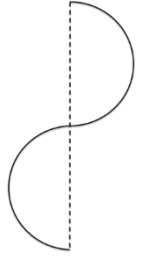
- Simplest example: multiwound fundamental string + momentum
- Entropy reproduced by microscopic string states
- For classical profiles, string sources good supergravity background
- Transverse vibrations only \rightarrow non-trivial size
- Classical profiles \leftrightarrow coherent states in usual way
- No horizons; source at location of string

Dabholkar, Gauntlett, Harvey, Waldram '95, Callan, Maldacena, Peet '95
Lunin, Mathur '01



Sen '94

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Sen '94

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- Transverse vibrations only \rightarrow non-trivial size
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- NS1-P is U-dual to D1-D5 bound state
- Configurations are everywhere smooth in D1-D5 frame
- Caveat: two-charge Black hole is string-scale sized.

Lunin, Mathur '01

Lunin, Maldacena, Maoz '02

D1-D5-P: three charges

- Add momentum to D1-D5 \rightarrow macroscopic black hole
- Entropy reproduced from microscopic degrees of freedom

Strominger, Vafa '96

- Many three-charge states constructed

Giusto, Mathur, Saxena, Srivastava, ...
Bena, Bobev, de Boer, Shigemori, Wang, Warner, ...

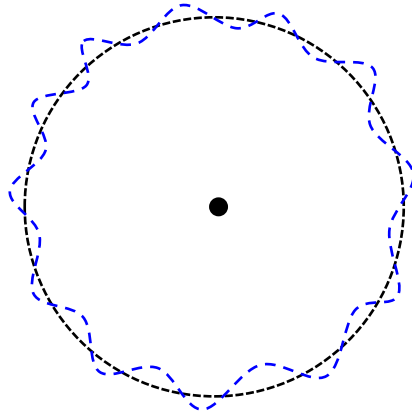
- Non-extremal states: unitary Hawking radiation

Das, Mathur '96
Jejjala, Madden, Ross, Titchener '05, Cardoso, Dias, Hovdebo, Myers '05
Chowdhury, Mathur '07, '08

- Open question: how large a subset of the BH degrees of freedom are well-described by smooth horizonless supergravity solutions?

Black string hair: early attempts

- Black string (with horizon) + travelling waves – heterotic / D1-D5-P



Larsen, Wilczek '95

Cvetic & Tseytlin '95, Tseytlin '96

Horowitz & Marolf '96

- Turns out that these solutions generically have curvature singularities at horizon

Kaloper & Myers '96, Horowitz & Yang '97

- An instance of the 'no hair' theorem

This talk

- Construct D1-D5-P states as perturbations of D1-D5 backgrounds
- Perturbations carry momentum in compact directions
- Analogue of early attempts at D1-D5-P hair,
→ this time no horizon so no singularities

- Construction motivated by old work on AdS_3 and asymptotic symmetries Brown, Henneaux '84

- Connect to ideas of states localized at boundary of AdS_3 Witten '98
→ $U(1)$ in $\text{AdS}_5/\text{CFT}_4$ & Singletons

D1-D5 system: setup

We work in type IIB string theory on $\mathbb{R}^{1,4} \times S^1 \times T^4$
 t, x^μ y z^i

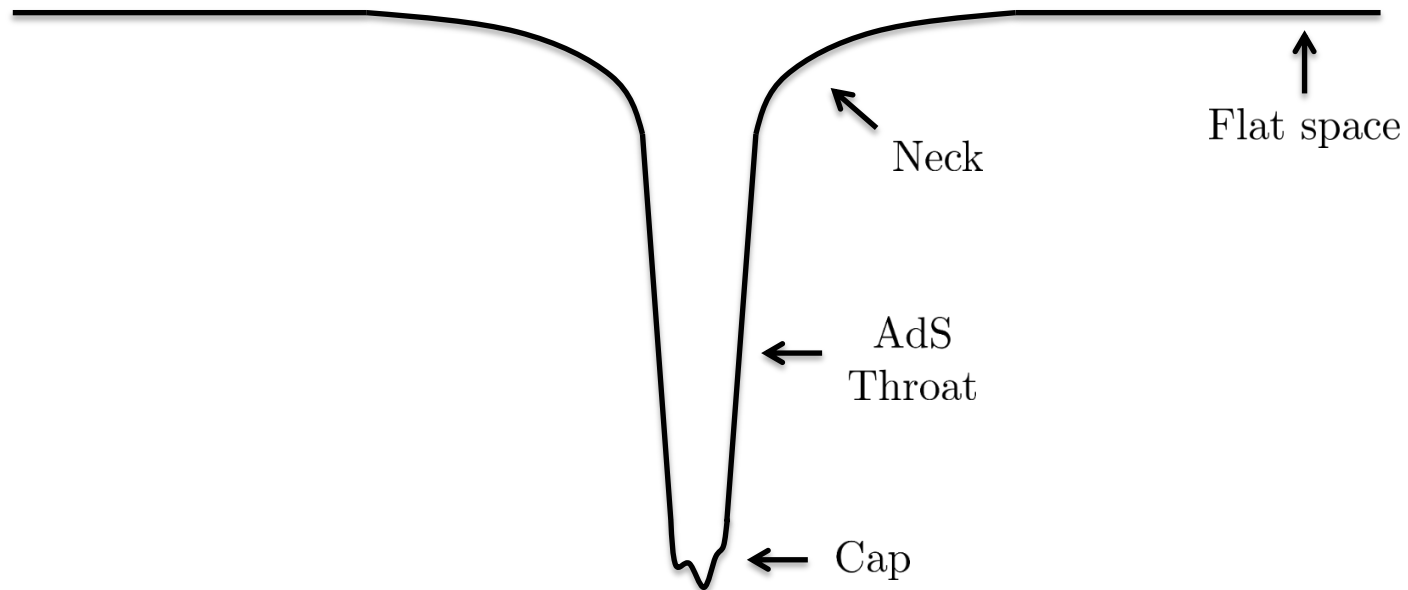
- Radius of S^1 : R_y
- Wrap n_1 D1 branes on S^1
- Wrap n_5 D5 branes on $S^1 \times T^4$

The bound state creates a geometry with D1 and D5 charges

$$Q_1 = \frac{1}{V} (2\pi)^4 g \alpha'^3 n_1, \quad Q_5 = g \alpha' n_5$$

For simplicity, set $Q_1 = Q_5 = Q$.

To get an AdS throat, take $\sqrt{Q} \ll R_y$. Structure of geometry is then:



The throat is locally $AdS_3 \times S^3 \times T^4$.

D1-D5 CFT

- Worldvolume gauge theory on D1-D5 bound state flows in IR to a (4,4) SCFT.
- Orbifold point in moduli space: Free SCFT on $(T^4)^N/S_N$, $N = n_1 n_5$.

Symmetry generators:

- | | | |
|---|------------|---------------------|
| | (L) | |
| • Virasoro _L × Virasoro _R | L_{-n} | |
| • R symmetries $SU(2)_L \times SU(2)_R$ | J^a_{-n} | $a = 1, 2, 3$ |
| • U(1) currents of T^4 translations | J^i_{-n} | $i = 5, \dots, 8$ ← |

U(1) currents J^i

- Orbifold CFT on $(T^4)^N/S_N$: N copies of T^4 sigma model, fields

$$X^i, \quad \psi^i, \quad \bar{\psi}^i.$$

- U(1) currents of T^4 translations in copy r :

$$J^{(r),i} = \partial X^{(r),i} \quad \Rightarrow \quad J_{-n}^{(r),i} = \alpha_{-n}^{(r),i}.$$

→ Total U(1) currents:

$$J_{-n}^i = \sum_{r=1}^N \alpha_{-n}^{(r),i}.$$

- Modes of U(1) currents are simplest excitations of the CFT: a bosonic oscillator, symmetrized over the N copies.

Asymptotic Symmetries

- Asymptotic symmetry group (ASG): symmetries preserving asymptotics of the space
- ASG of AdS_3 : $Virasoro_L \times Virasoro_R$.
- D1-D5 AdS/CFT version:

Brown, Henneaux '84

$$\begin{array}{ccc} AdS_3 & \times & S^3 & \times & T^4 \\ \downarrow & & \downarrow & & \downarrow \\ L_{-n} & & J_{-n}^a & & J_{-n}^i \end{array}$$

↑

Asymptotic Symmetries

- Virasoro generator L_{-n} : diffeomorphism generated by $(v = t - y)$

$$\xi_{-n}^L = e^{-inv} \partial_v + (\text{corrections})$$

$$[\xi_m^L, \xi_n^L] = i(m - n) \xi_{m+n}^L .$$

Brown, Henneaux '84

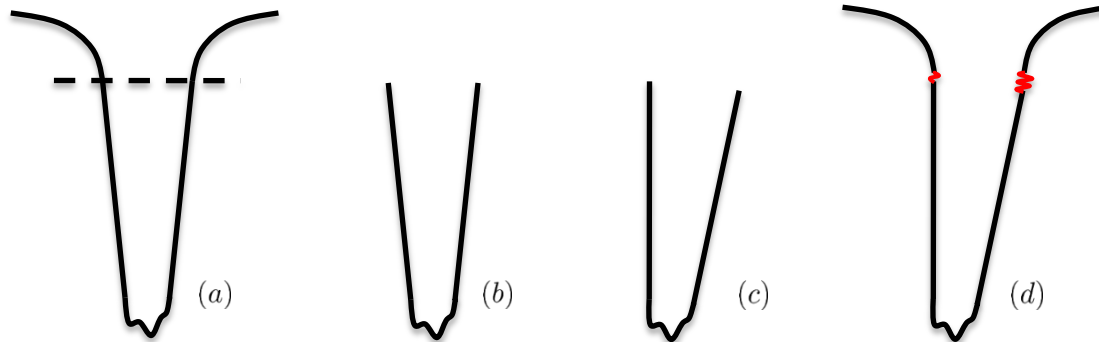
- T^4 translations – choose one torus direction z :

$$\xi_{-n}^z = e^{-inv} \partial_z \quad [\xi_m^L, \xi_n^z] = i(m - n) \xi_{m+n}^z .$$

→ ξ_{-n}^z generates metric component h_{vz} .

Form of the perturbation

- Take a D1-D5 ground state $|0\rangle_R$ and seek dual of $J_{-n}^z|0\rangle_R$
- In the $AdS_3 \times S^3 \times T^4$ throat+cap, J_{-n}^z is diffeomorphism along T^4
- However $J_{-n}^z|0\rangle_R$ has higher energy than $|0\rangle_R$
→ perturbation can't be a diffeomorphism everywhere
- Perturbation is non-trivial in the neck region between throat and flat asymptotics.



The background geometry

The background geometry we use is the simplest one:

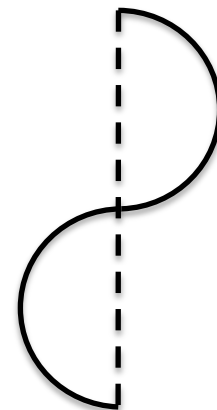
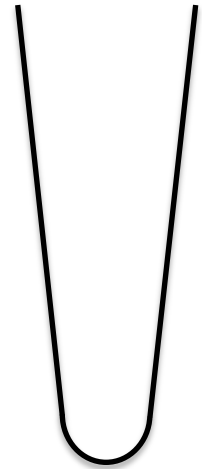
- Cap geometry is global AdS
- Corresponds to particular Ramond ground state obtained from spectral flow of NS vacuum

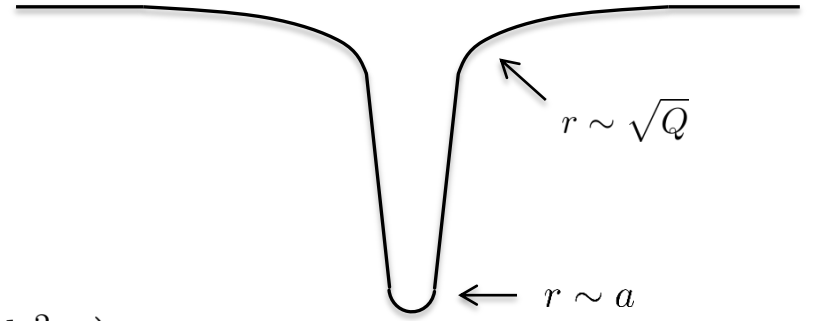
Maldacena, Maoz '00,

Balasubramanian, de Boer, Keski-Vakkuri, Ross '00

This background is U-dual to an NS1-P profile which is a single helix.

Lunin, Mathur '01





Full background fields:

$$\begin{aligned}
 ds^2 = & -\frac{1}{h} (dt^2 - dy^2) + hf \left(d\theta^2 + \frac{dr^2}{r^2 + a^2} \right) \\
 & + h \left[\left(r^2 + \frac{a^2 Q^2 \cos^2 \theta}{h^2 f^2} \right) \cos^2 \theta d\psi^2 + \left(r^2 + a^2 - \frac{a^2 Q^2 \sin^2 \theta}{h^2 f^2} \right) \sin^2 \theta d\phi^2 \right] \\
 & \frac{2Q}{Q+f} \left[(-a \cos^2 \theta d\psi) dy + (-a \sin^2 \theta d\phi) dt \right] + dz^i dz^i,
 \end{aligned}$$

$$\begin{aligned}
 C_{ty}^{(2)} &= -\frac{Q}{Q+f}, & C_{\phi\psi}^{(2)} &= Q \cos^2 \theta + \frac{Qa^2 \sin^2 \theta \cos^2 \theta}{Q+f} \\
 C_{t\psi}^{(2)} &= \frac{Q}{Q+f} (-a \cos^2 \theta) & C_{y\phi}^{(2)} &= \frac{Q}{Q+f} (-a \sin^2 \theta)
 \end{aligned}$$

where

$$a = \frac{Q}{R_y}, \quad f = r^2 + a^2 \cos^2 \theta, \quad h = 1 + \frac{Q}{f}.$$

Field equations

- Perturbation:

$$g = \bar{g} + \hat{\epsilon} h, \quad C^{(2)} = \bar{C}^{(2)} + \hat{\epsilon} C, \quad F^{(3)} = dC^{(2)}$$

- Equations of motion:

$$R_{AB} = \frac{1}{4} F_{ACD}^{(3)} F_B^{(3)CD},$$
$$F_{MNP}^{(3)}{}^{;P} = 0$$

- Ansatz: choose one torus direction z and switch on h_{Az}, C_{Az} .
- Field equations separate into one eqn for

$$h_{Az} + C_{Az} \text{ and one for } h_{Az} - C_{Az} .$$

- Desired solution has $h_{Az} + C_{Az} = 0$.

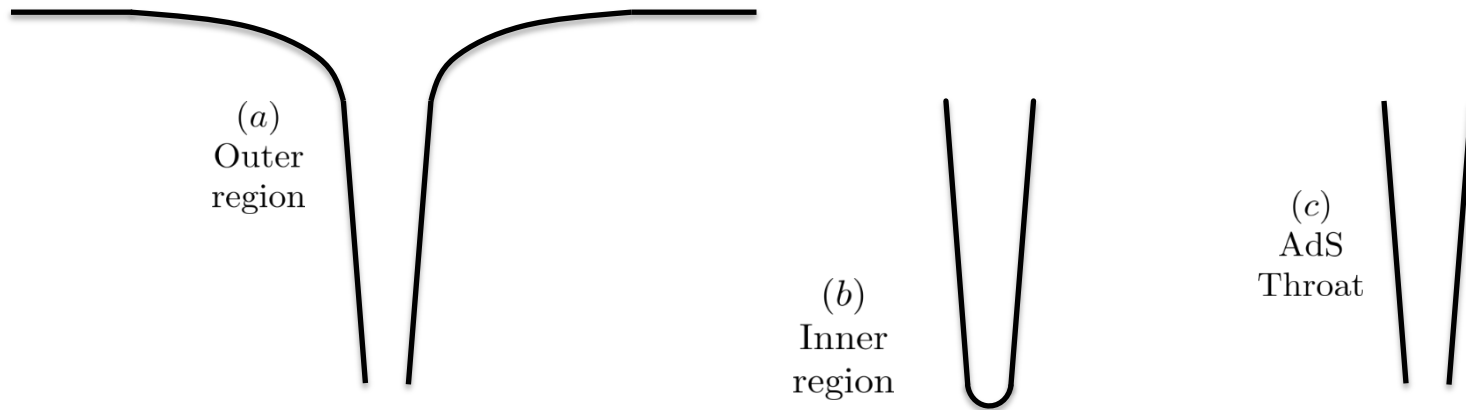
Approximation procedure

Method for constructing the perturbation:

[Mathur, Saxena, Srivastava '03](#)

- Solve equations of motion in 'outer' and 'inner' regions separately
- Match the solutions in the 'throat'

[Mathur, Turton 1112.6413](#)



- Extend to closed-form perturbation on full background

[Mathur, Turton 1202.6421](#)

J^z perturbation

- The perturbation is given by:

$$\begin{aligned}h_{vz} &= e^{-in\frac{v}{R_y}} \left(\frac{r^2}{r^2 + a^2} \right)^{\frac{n}{2}} \frac{Q}{Q + f}, \\h_{rz} &= e^{-in\frac{v}{R_y}} \left(\frac{r^2}{r^2 + a^2} \right)^{\frac{n}{2}} \frac{iaQ}{r(r^2 + a^2)}, \\h_{\psi z} &= e^{-in\frac{v}{R_y}} \left(\frac{r^2}{r^2 + a^2} \right)^{\frac{n}{2}} \frac{Q}{Q + f} (-a \cos^2 \theta), \\h_{\phi z} &= e^{-in\frac{v}{R_y}} \left(\frac{r^2}{r^2 + a^2} \right)^{\frac{n}{2}} \frac{Q}{Q + f} (-a \sin^2 \theta)\end{aligned}$$

and

$$C_{Az} = -h_{Az},$$

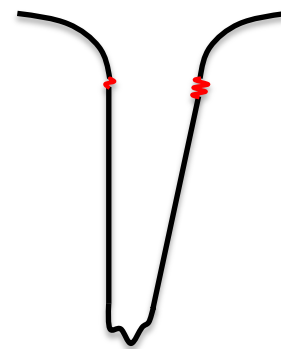
where

$$f = r^2 + a^2 \cos^2 \theta.$$

Properties of the perturbation

- Smooth at $r = 0$ and normalizable as $r \rightarrow$ infinity
- To leading order, perturbation reduces in the throat+cap to diffeomorphism generated by ξ & gauge trans of $C^{(2)}$ generated by Λ :

$$\begin{aligned}\xi_z &= i \frac{R_y}{n} e^{-in \frac{v}{R_y}} \left(\frac{r^2}{r^2 + a^2} \right)^{\frac{n}{2}}, \\ \Lambda_z &= -\xi_z.\end{aligned}$$



- At top of throat, ξ is just a translation in z as a function of v :

$$\xi_z \sim e^{-in \frac{v}{R_y}}.$$

Properties of the perturbation

- Energy = momentum = $\frac{n}{R_y}$ above ground state
- Upon quantization, only $n > 0$ will be physical excitations
- Generalizes to a class of other background geometries labelled by parameter

$$k = 1, 2, \dots, N, \quad N = n_1 n_5 .$$

- Can also examine in ‘black ring’ regime of parameters $\sqrt{Q} \gg R_y$ relevant to D1-D5-P black ring

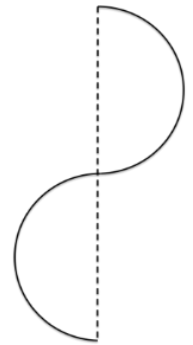
Elvang, Emparan, Mateos, Reall ‘04

→ find perturbation spreads out to scale of ring

Quadratic order (in progress)

- At quadratic order, expect to see momentum charge along $v \rightarrow h_{vv}$
- How will this be determined?
- Example: fundamental string with momentum
→ at location of source, no momentum along string

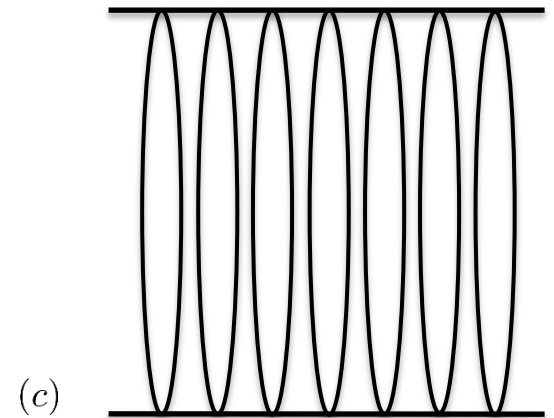
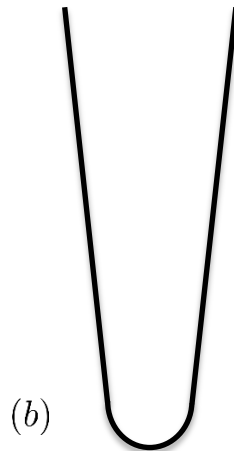
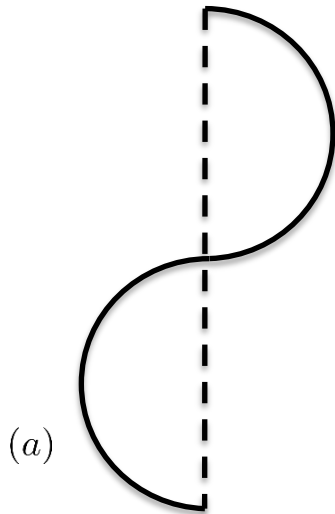
Dabholkar, Gauntlett, Harvey, Waldram '95



- In our case, D1-D5 geometries have smooth caps, no sources
→ smoothness of perturbation may fix momentum charge.

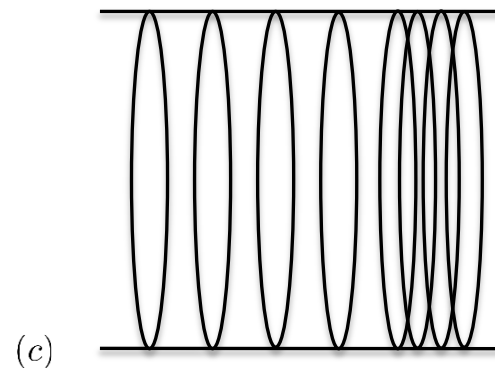
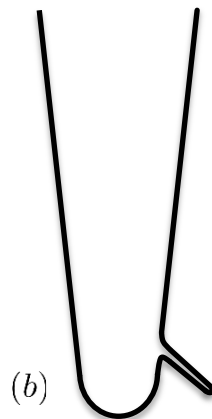
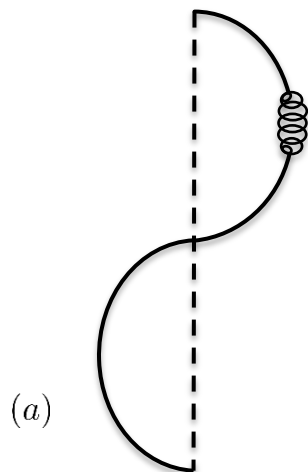
Comments

- Background geometry used in this talk:



- Saw that global J_{-n}^z perturbation gave perturbation at neck
- Suggests a way to understand the states which live at the cap (majority of states)

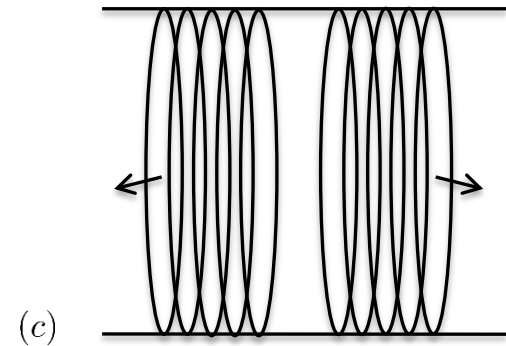
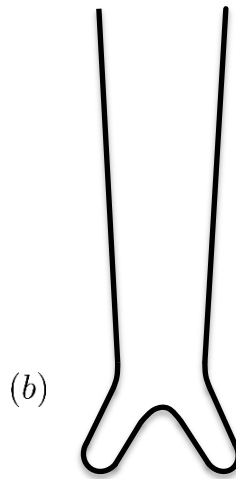
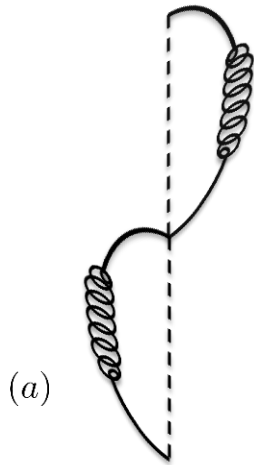
- Background with small sub-throat:



- Neck of sub-throat \sim flat space (on scale of sub-throat)
- J_{-n}^z perturbation of the sub-throat should be the state

$$|0_1\rangle_R \otimes |0_2\rangle_R \cdots |0_n\rangle_R \otimes \sum_{k=1}^K J_{-n}^z{}^{(k)} \left(\prod_{k=1}^K |0_k^s\rangle_R \right)$$

- Background with two large sub-throats:



- Antisymmetric combination of J_{-n}^z perturbations of sub-throats:

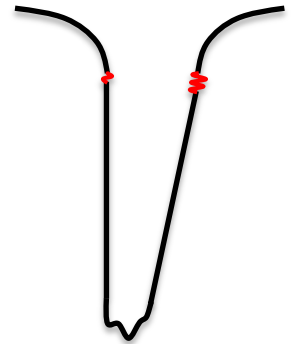
$$\left(\sum_{k=1}^{\frac{n_1 n_5}{2}} J_{-n}^z(k) - \sum_{k=\frac{n_1 n_5}{2}+1}^{n_1 n_5} J_{-n}^z(k) \right) \left(\prod_{k=1}^{\frac{n_1 n_5}{2}} |0_k^+\rangle_R \otimes \prod_{k=\frac{n_1 n_5}{2}+1}^{n_1 n_5} |0_k^-\rangle_R \right)$$

Conclusions

- D1-D5 microstate geometries support ‘hair’ where a horizon didn’t
- Constructed perturbations which carry momentum in compact directions
- Suggests a picture of more general three-charge states

Future:

- Construct perturbation to quadratic order, fully non-linear order?
- Make perturbation on more general backgrounds
→ non-extremal?



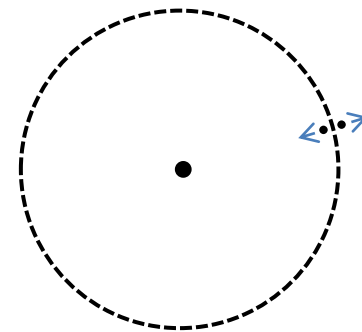
Bonus slides

The Information Paradox

- Each Hawking pair increases entanglement entropy by $\ln 2$

When does process terminate? If no new physics enters problem until BH becomes Planck-sized,

1. BH evaporates completely \Rightarrow ~~unitarity~~
2. Planck-sized remnant \Rightarrow exotic objects
(arbitrarily high entanglement with surroundings)



Allowing arbitrary small corrections to this process, conclusions robust

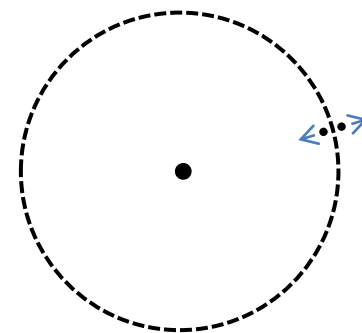
Mathur '09

If a traditional horizon forms and persists,
the theory either violates unitarity or has exotic remnants.

The Information Paradox

More precise version:

- Allow corrections to Hawking pair creation, controlled by parameter ϵ
- Then each Hawking pair increases entanglement entropy by $(\ln 2 - 2\epsilon)$.
- If normal physics at horizon, $\epsilon \ll 1$, and entanglement entropy always increases \Rightarrow ~~unitarity~~ / remnants



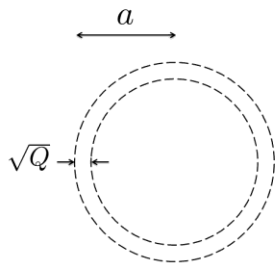
Proof uses “strong subadditivity” theorem of quantum information theory

Mathur '09

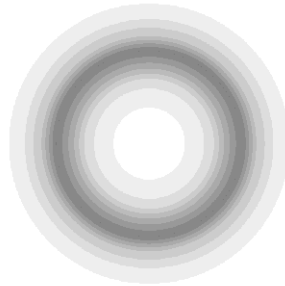
Nonextremal: Hawking radiation

- Emission rate from D1-D5 system matches Hawking radiation rate
Das, Mathur '96
- Class of non-extremal microstate geometries known
Jejjala, Madden, Ross, Titchener (JMaRT) '05
- Ergoregion emission – classical instability
Cardoso, Dias, Hovdebo, Myers '05
- Matches (Hawking) emission rate from these states
Chowdhury, Mathur '07, '08
- In fuzzball scenario, Hawking radiation is ordinary quantum emission
 \Rightarrow unitary

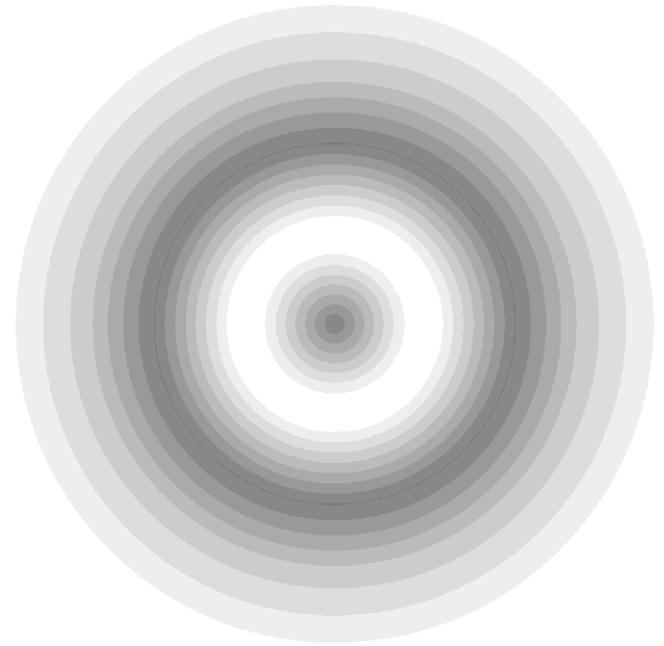
Black ring limit



(a)



(b)



(c)