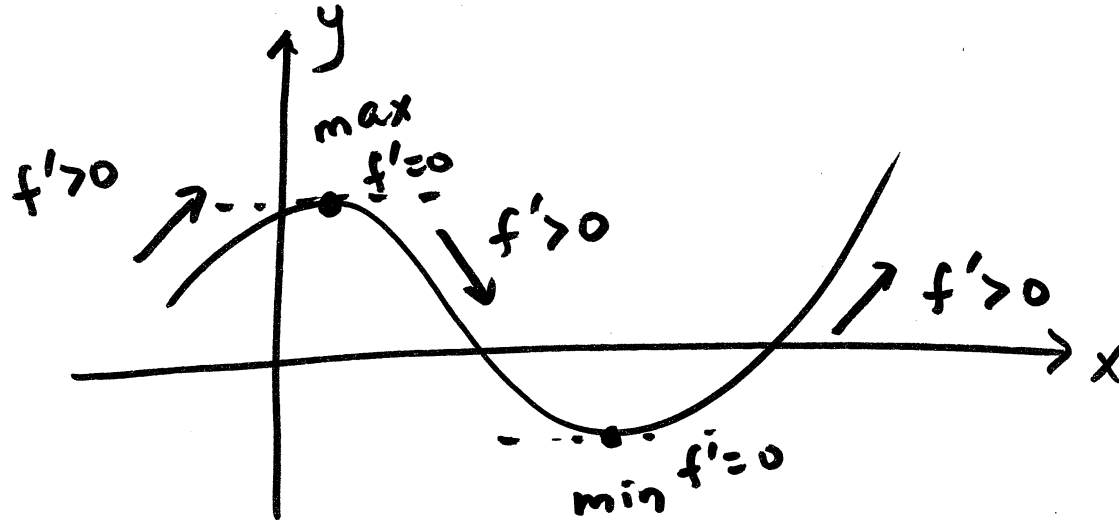
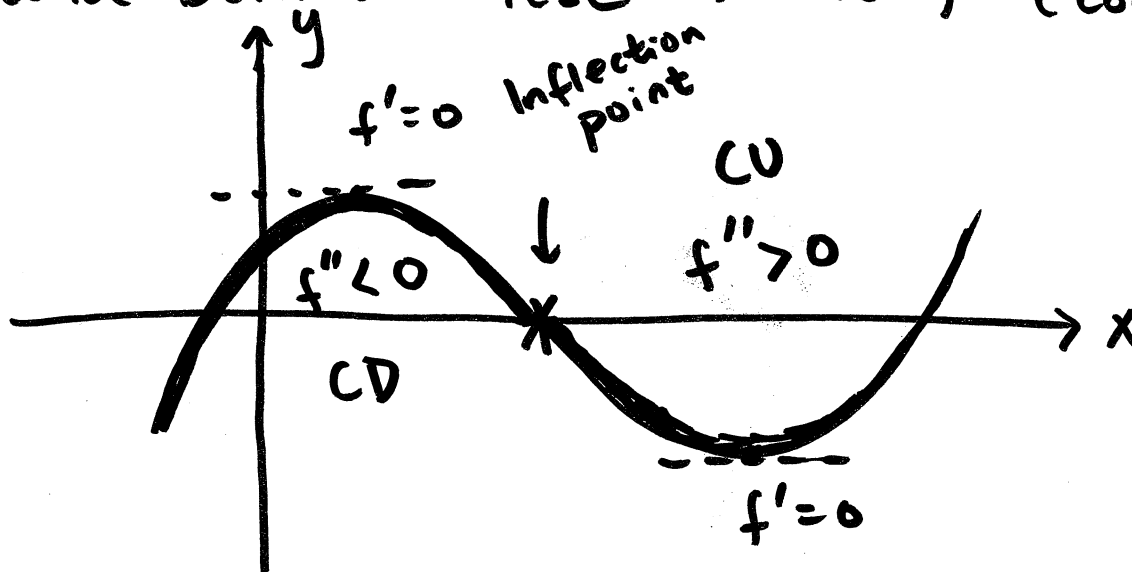


4.3 Derivatives and Shapes (part 2)

First Derivative Test \rightarrow use f' (slope) for max/min





Second Derivative Test \rightarrow use f'' (concavity) for max/min



Second Deriv. Test

1. Find critical numbers ($f' = 0$ or f' DNE)
2. Check concavity near each critical #
by evaluating f''

a. If $f'' < 0 \rightarrow$ local max 

b. If $f'' > 0 \rightarrow$ local min 

c. If $f'' = 0 \rightarrow$ test fails

Still could be max/min
but this test can't tell.

Example Use the 2DT to find local max/min
of $f(x) = 2 + 3x^2 - 2x^3$


Find CN : $f' = 0$

$$f'(x) = 6x - 6x^2 = 0$$

$$6x(1-x) = 0$$

$$x = 0, x = 1$$

Check concavity (f'') $f''(x) = 6 - 12x$

$f''(0) = 6 > 0 \rightarrow$ CU  local min

$f''(1) = -6 < 0 \rightarrow$ CD  local max

local min : $f(0) = 2$

local max : $f(1) = 3$

example

$$f(x) = x^5 \cdot \ln x \quad \text{Use } \underline{\underline{ZDT}}$$

Domain: $(0, \infty)$

$$f'(x) = x^5 \cdot \frac{1}{x} + (\ln x) \cdot (5x^4)$$

$$= x^4 + 5x^4 \ln x = 0$$

$$x^4 (1 + 5 \ln x) = 0$$

$$x^4 = 0 \quad \text{or} \quad 1 + 5 \ln x = 0$$

~~$x = 0$~~
not in
domain of
 $f(x)$

$$\ln x = -\frac{1}{5}$$

$$\textcircled{\text{no}} \quad x = e^{-1/5} > 0$$

in domain, keep

$$f'(x) = x^4 + 5x^4 \ln x$$

$$f''(x) = 4x^3 + 5x^4 \cdot \frac{1}{x} + 20x^3 \ln x$$

$$= 4x^3 + 5x^3 + 20x^3 \ln x$$

$$= 9x^3 + 20x^3 \ln x$$

$$= x^3 (9 + 20 \ln x)$$

$$\text{CN: } x = e^{-1/5}$$

$$f''(e^{-1/5}) = (e^{-1/5})^3 (9 + 20 \ln e^{-1/5})$$
$$= e^{-3/5} (9 - 4) = 5e^{-3/5} > 0$$

local min at $x = e^{-1/5}$

CU 

local min value is $f(e^{-1/5}) = -\frac{1}{5e}$

example

$$f(x) = x^4$$

$$f'(x) = 4x^3 = 0$$

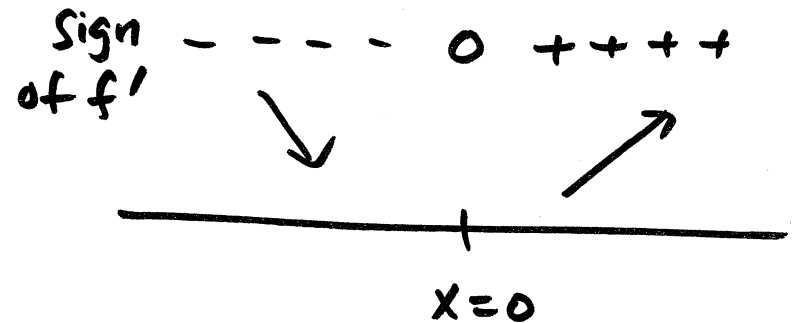
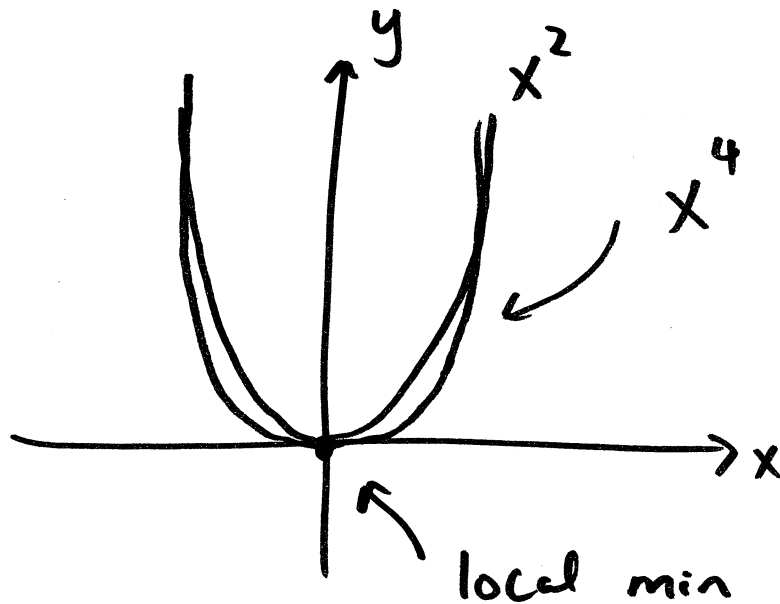
$$CN: x = 0$$

$$f''(x) = 12x^2$$

$$f''(0) = 0$$

2DT fails

Fall back to 1DT



1DT says:

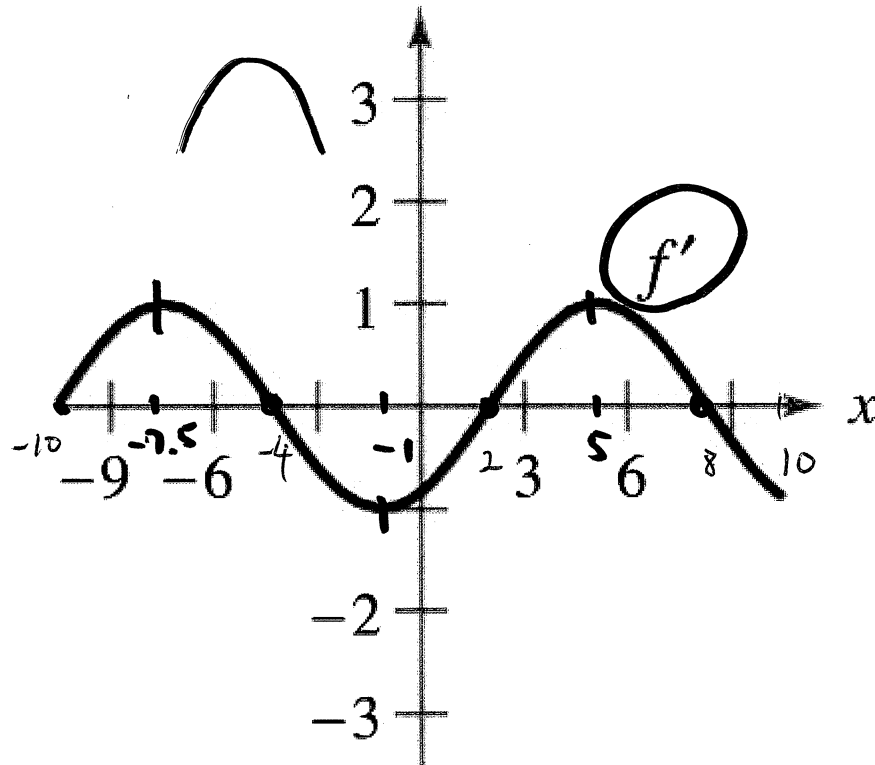
local min at $x=0$

Find: intervals of inc/dec (for f)

x values of local max/min

intervals of CU/CD (for f)

x value(s) of inflection point(s)



Inc: $f' > 0 \rightarrow$ above x-axis

$$(-10, -4) \cup (2, 8)$$

dec: $(-4, 2) \cup (8, 10)$

local max @ -4, 8

local min @ 2

$f' < 0$ before
 $f' > 0$ after

CU: $f'' > 0 \rightarrow (f')' > 0 \rightarrow f'$ increasing

$$(-10, -7.5) \cup (-1, 5)$$

CD: $f'' < 0 \rightarrow (f')' < 0 \rightarrow f'$ decreasing

$$(-7.5, -1) \cup (5, 10)$$

IP: f'' changes sign $\rightarrow f'$ from inc to dec
or vice versa @ -7.5, -1, 5